

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09857-023001	Application No. 09/401,875
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Abraham I. Zeigler et al.	
		Filing Date September 23, 1999	Group Art Unit

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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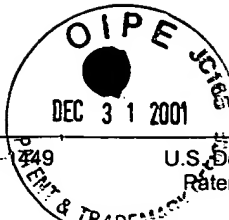
Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
VC	AQ	Securities and Exchange Commission, Proposed Rule Change, NASD, Inc., 2/5/99, 61 pps.
	AR	
	AS	
	AT	

Examiner Signature <i>Kenneth A. Rosen</i>	Date Considered 30 Sept 2000
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



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U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>de</i>	AA	5,297,032	3-22-94	Trojan et al.	364	408	
	AB						
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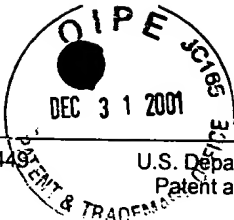
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Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>de</i>	AL	WO 91 14231	19.09.91	PCT				
<i>de</i>	AM	WO 98/13778	2.4.98	PCT				
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
<i>de</i>	AQ	"Nasdaq Workstation II", Nasdaq Stock Market, XP002171886, May 1999, pps. 1-17 (www.nasdaqtrader.com/trader/tradingservices/productservices/userguides/userguides.stm).
<i>de</i>	AR	"Self Regulatory Organizations: Notice of Filing of Proposed Rule Change by the National Association of Securities Dealers, Inc." Federal Register – Securities and Exchange Commission, Vol. 64, No. 47, March 11, 1999, pps. 12198-202, XP002171885 (198.17.75.65/fril/).
<i>de</i>	AS	"Self Regulatory Organizations: Notice of Filing of Proposed Rule Change by the National Association of Securities Dealers, Inc." Federal Register – Securities and Exchange Commission, Vol. 64, No. 77, April 22, 1999, pps. 19844-49, XP002171896 (198.17.75.65/fril/).

Examiner Signature <i>Robert Campbell</i>	Date Considered <i>30 Sept 2002</i>
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32

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Examiner Initial	Desig. ID	Document
	AT	Reich, Benny and Ben-Shaul, Israel: "A Componentized Architecture for Dynamic Electronic Markets", Sigmod Record, Vol. 27, No. 4, December 1998, pps. 40-47, XP002171887 (www.acm.org).

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